



| Audit Report & Date  | Total Actions |    |    | Actions Completed<br>(subject to Follow<br>Up Audit) |   |   | Actions<br>Completed/Supersede<br>ded (no further<br>Follow Up required<br>or confirmed by<br>Follow Up Audit) |    |    | Actions Currently<br>in Progress |   |   | No of Completion<br>Extensions<br>Required to Date<br>(All Actions) |    |   |
|--|---------------|----|----|--|---|---|--|----|----|----------------------------------|---|---|---|----|---|
|  | H             | M  | L  | H  | M | L | H  | M  | L  | H                                | M | L | H   | M  | L |
| <b>Internal Audit Plan 2020/21</b>                             |               |    |    |  |   |   |  |    |    |                                  |   |   |   |    |   |
| Risk Management (Jul 20)                                       |               | 4  | 2  |  |   |   |  | 4  | 2  |                                  |   |   |   |    |   |
| Procurement – Proactive Processes and Remedial Action (Aug 20) |               | 5  | 1  |  |   |   |  | 5  | 1  |                                  |   |   |   | 4  |   |
| Use of Risk Information (Sep 2020)                             |               | 1  | 3  |  |   |   |  | 1  | 3  |                                  |   |   |   |    |   |
| ICT Cyber Security - Advisory (Nov 2020)                       |               | 6  | 5  |  |   |   |  | 6  | 5  |                                  |   |   |   |    |   |
| HR - Support Staff Recruitment (Feb 2021)                      |               | 1  | 3  |  |   |   |  | 1  | 3  |                                  |   |   |   |    |   |
| Key Financial Controls (Feb 2021)                              |               | 1  | 1  |  |   |   |  | 1  | 1  |                                  |   |   |   |    |   |
| HR - Wellbeing (Mar 2021) <i>Re-stated (Oct 2021)</i>          |               | 4  | 1  |  | 3 |   |  |    | 1  |                                  | 1 |   |   | 1  |   |
| Service Governance (Apr 2021)                                  |               | 3  | 6  |  | 3 |   |  |    | 6  |                                  |   |   |   |    |   |
| Follow up (Jun 2021)   |               | 2  | 2  |  |   |   |  |    | 2  |                                  | 2 |   |   | 1  |   |
| <b>Internal Audit Plan 2021/22</b>                             |               |    |    |  |   |   |  |    |    |                                  |   |   |   |    |   |
| Data Quality to support the CRMP                               | 1             | 3  | 2  |  |   |   |  |    |    |                                  |   |   |   |    |   |
| Debrief and Organisational Learning                            |               |    |    |  |   |   |  |    |    |                                  |   |   |   |    |   |
| Key Financial Controls   |               |    |    |  |   |   |  |    |    |                                  |   |   |   |    |   |
|  |               |    |    |  |   |   |  |    |    |                                  |   |   |   |    |   |
|  |               |    |    |  |   |   |  |    |    |                                  |   |   |   |    |   |
|  |               |    |    |  |   |   |  |    |    |                                  |   |   |   |    |   |
|  |               |    |    |  |   |   |  |    |    |                                  |   |   |   |    |   |
| <b>TOTALS</b>  | 9             | 60 | 40 | 0  | 7 | 0 | 8  | 47 | 38 | 0                                | 3 | 0 | 2   | 13 | 0 |